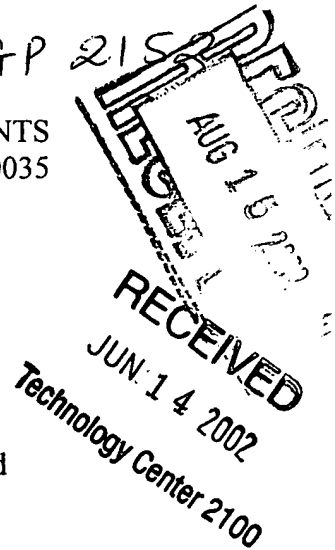


#4  
BT GP 2152  
8-15-02  
PATENTS  
112056-0035



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:  
John A. Scott

Serial No.: 10/086,657

Filed: February 28, 2002

For: SYSTEM AND METHOD FOR  
CLUSTERED FAILOVER WITH-  
OUT NETWORK SUPPORT

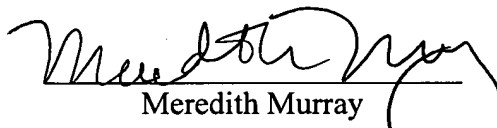
Examiner: Not Yet Assigned

Art Unit: 2152

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
June 5, 2002

**CERTIFICATE OF MAILING**

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on June 5, 2002.

  
Meredith Murray

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION-DISCLOSURE STATEMENT**


In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicant wishes to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

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To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. It is understood that the Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

  
\_\_\_\_\_  
Duane H. Dreger  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/086,657
Filing Date	February 28, 2002
First Named Inventor	John A. Scott
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	112056-0035

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

### Complete if Known

Application Number	10/086,657
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Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	112056-0035

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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Plummer, David C. AN ETHERNET ADDRESS RESOLUTION PROTOCOL or CONVERTING NETWORK PROTOCOL ADDRESSES TO 48-bit ETHERNET ADDRESS FOR TRANSMISSION ON ETHERNET HARDWARE. November 1982. June 3, 2002. <a href="http://www.ietf.org/rfc/rfc0826.txt?number=826">Http://www.ietf.org/rfc/rfc0826.txt?number=826</a> .	
	2	VIRTUAL INTERFACE ARCHITECTURE SPECIFICATION, Version 1.0, December 16, 1997. Compaq Computer Corp., Intel Corporation, Microsoft Corporation.	
	3	American National Standard for Information Technology, FIBRE CHANNEL ARBITRATED LOOP (FC-AL-2), December 8, 1999, published by American National Standards Institute, Inc., all pages.	
	4	U.S. Pending Patent Application Serial No. 09/933,866, filed August 20, 2001 for an OPERATOR INITIATED GRACEFUL TAKEOVER IN A NODE CLUSTER by Samuel M. Cramer et al., all pages.	
	5	U.S. Pending Patent Application Serial No. 09/933,883, filed August 20, 2001 for a NEGOTIATED GRACEFUL TAKEOVER IN A NODE CLUSTER by Samuel M. Cramer et al., all pages.	
	6	DAFS: DIRECT ACCESS FILE SYSTEM PROTOCOL, Version 1.00. September 1, 2001, DAFS collaborative, all pages.	
	7	U.S. Pending Patent Application Serial No. 10/027,457, filed December 21, 2001 for a SYSTEM AND METHOD OF IMPLEMENTING DISK OWNERSHIP IN NETWORKED STORAGE by Susan M. Coatney et al., all pages.	

Examiner  
Signature

Date  
Considered

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